



Section \$

PATENT APPLICATION

Attorney Docket No. 69971

Date: July 25, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Willebrand, Heinz

Appln No.: 09/835,866

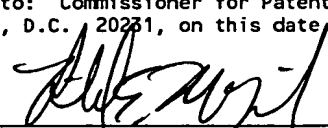
Filed: April 16, 2001

For: AN INTEGRATED ENVIRONMENTAL  
CONTROL AND MANAGEMENT SYSTEM  
FOR FREE-SPACE OPTICAL  
COMMUNICATION SYSTEMS

CERTIFICATE OF MAILING

I hereby certify that this paper is being  
deposited with the United States Postal  
Service as first class mail in an envelope  
addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on this date

7/25/01

  
Richard E. Wawryzniak  
Registration No. 36,048  
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated June 8, 2001,  
enclosed are:

- (X) A copy of the Notice to File Missing Parts.
- (X) Five (5) sheets of substitute drawings in compliance with  
37 C.F.R. § 1.84 having the appropriate margins.
- (X) An executed Declaration for Patent Application, including Power  
of Attorney.
- (X) Assignment(s) of the invention to LightPointe Communications,  
Inc., and Recordation Form Cover Sheet - Patents Only - Form  
PTO-1595.
- (X) A check in the amount of \$40.00 to cover the fee for recording  
the assignment(s).
- (X) Fee Calculation:

Fee Calculation For Claims As Filed

( ) Provisional Application Basic Fee	\$ 150.00	\$ _____
• Surcharge under 37 C.F.R. § 1.16(1)	\$ 50.00	\$ _____

or

09835866-073001

(X) Non-Provisional Utility Application \$710.00 \$ 710.00

Basic Fee

• Independent 5 - 3 = 2 x \$ 80.00 = \$ 160.00  
Claims

• Total Claims 30 - 20 = 10 x \$ 18.00 = \$ 180.00

• Surcharge under 37 C.F.R. §1.16(e) x \$130.00 = \$ 130.00

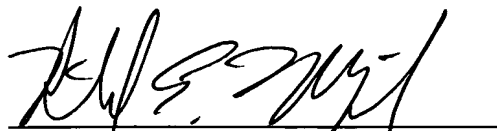
Total Filing and/or Surcharge Fee \$ 1,180.00

(X) Applicant(s) assert that they are entitled to small entity status, reducing the Filing Fee by half to: \$ 590.00

(X) A check in the amount of \$590.00 to cover the Filing and/or Surcharge Fee is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in duplicate.

July 25, 2001

  
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09835866-073001

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/835,866	JUL 30 2001 04/16/2001	Heinz Willebrand	69971

22242  
FITCH EVEN TABIN AND FLANNERY  
120 SOUTH LA SALLE STREET  
SUITE 1600  
CHICAGO, IL 606033406

CONFIRMATION NO. 5585

## FORMALITIES LETTER



\*OC000000006164620\*

Date Mailed: 06/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$170.
  - \$90 for 10 total claims over 20.
  - \$80 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 590.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

355.00  
80.00  
41.00

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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08/01/2001 MAHMEI 00000024 09835866

01 FC:201  
02 FC:202  
03 FC:203  
04 FC:205

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09835866-073001